



ADVISOR AGREEMENT CONTRACT

1. Purpose of Agreement

This Advisor Agreement ("Agreement") is entered into between Renewable Ergon, Inc. ("Company") and the financial advisor or institutional partner ("Advisor") identified in this document. The purpose of this Agreement is to establish the terms and conditions under which the Advisor will promote, distribute, and provide advisory services related to Renewable Ergon's investment products and services to eligible clients.

2. Advisor Representations & Eligibility

The Advisor represents and warrants that they:

- Hold all necessary licenses, registrations, and regulatory approvals required to provide financial advisory services in their jurisdiction;
- Are in good standing with all relevant regulatory bodies including but not limited to FINRA, SEC, state securities regulators, or international equivalents;
- Have appropriate errors and omissions insurance coverage;
- Will comply with all applicable securities laws, regulations, and Company policies;
- Have reviewed and understood the Company's investment products and associated risks.

3. Scope of Services

The Advisor agrees to:

- Provide professional financial advisory services to clients regarding Renewable Ergon's investment products;
- Conduct appropriate suitability assessments before recommending any investment products;
- Deliver all required disclosure documents to clients in a timely manner;
- Maintain accurate records of all client interactions and transactions;
- Report any material changes in licensing status or regulatory standing to the Company immediately.

4. Compensation Structure

Advisor compensation will be determined based on the compensation schedule provided separately

and may include upfront commissions, trailing fees, and performance-based incentives. All compensation is subject to applicable regulatory requirements and will be disclosed to clients as required by law. The Company reserves the right to modify the compensation structure with 30 days' written notice to the Advisor.

5. Advisor Responsibilities

The Advisor shall:

- Act in accordance with fiduciary duties and applicable standards of care;
- Provide accurate and complete information to clients;
- Promptly respond to client inquiries and Company communications;
- Participate in required training and continuing education programs;
- Use only Company-approved marketing materials and disclosures;
- Report any complaints, disputes, or regulatory inquiries to the Company within 48 hours;
- Maintain professional liability insurance with minimum coverage amounts specified by the Company.

6. Company Responsibilities

The Company agrees to:

- Provide access to the Advisor Portal and necessary product information;
- Process client applications and transactions in accordance with stated timelines;
- Provide marketing materials and disclosure documents that comply with regulatory requirements;
- Compensate the Advisor according to the agreed-upon schedule;
- Provide reasonable training and support resources;
- Maintain appropriate records and reporting systems.

7. Data Privacy & Confidentiality

The Advisor acknowledges that they will have access to confidential and proprietary information of the Company and its clients. The Advisor agrees to maintain the confidentiality of all such information and to use it only for purposes directly related to their advisory services. The Advisor shall comply with all applicable data privacy laws including but not limited to GDPR, CCPA, and other relevant regulations. Upon termination of this Agreement, the Advisor shall return or destroy all confidential information as directed by the Company.

8. Term & Termination

This Agreement shall commence upon execution and continue until terminated by either party. Either party may terminate this Agreement with 30 days' written notice. The Company may terminate this Agreement immediately for cause, including but not limited to: material breach of this Agreement, loss of required licenses or registrations, regulatory sanctions, fraud or misconduct, or failure to maintain required insurance coverage. Upon termination, the Advisor shall cease all promotional activities and return all Company property and confidential information. Compensation for completed transactions shall survive termination according to the terms of the compensation schedule.

9. Limitation of Liability

The Company shall not be liable for any indirect, incidental, special, or consequential damages arising out of or related to this Agreement or the Advisor's provision of services. The Company's total liability shall not exceed the total compensation paid to the Advisor in the twelve months preceding the claim. The Advisor agrees to indemnify and hold harmless the Company from any claims arising from the Advisor's negligence, misconduct, or breach of this Agreement.

10. Independent Contractor Status

The Advisor is an independent contractor and not an employee, partner, or agent of the Company. The Advisor is responsible for all taxes, insurance, and other obligations associated with their independent contractor status. Nothing in this Agreement shall be construed to create an employment relationship, partnership, or joint venture between the parties.

11. Governing Law

This Agreement shall be governed by and construed in accordance with the laws of the State of Delaware, without regard to its conflict of law provisions. Any disputes arising from this Agreement shall be resolved through binding arbitration in accordance with the rules of the American Arbitration Association.

12. Entire Agreement

This Agreement constitutes the entire agreement between the parties and supersedes all prior agreements, understandings, and representations, whether written or oral. This Agreement may only be modified by a written amendment signed by both parties. If any provision of this Agreement is found to be invalid or unenforceable, the remaining provisions shall continue in full force and effect.

13. Signature Section

By signing below, the Advisor acknowledges that they have read, understood, and agree to be bound by all terms and conditions of this Advisor Agreement. The Advisor confirms that all information provided is accurate and complete, and that they possess all necessary qualifications and authorizations to perform the services described herein.

ADVISOR SIGNATURE PAGE

Full Legal Name:

Firm Name:

Advisor Email:

Position / Title:

Electronic Signature:

Date:

- ☐ I have read and agree to the Renewable Ergon Advisor Agreement Contract.
- ☐ I confirm all information provided is accurate and truthful.

Your electronic signature is legally binding under ESIGN, UETA, and applicable international regulations.